

REPORT DOCUMENTATION PAGE

Form Approved
OMB NO. 0704-0188

Public Reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comment regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188,) Washington, DC 20503.

1. AGENCY USE ONLY (Leave Blank)		2. REPORT DATE 3/18/2004		3. REPORT TYPE AND DATES COVERED 1/15/2000-6/30/2003	
4. TITLE AND SUBTITLE Channel Coding and Estimation for Ultrawideband Radios				5. FUNDING NUMBERS G N00014-00-1-0224	
6. AUTHOR(S) Wayne E. Satrk					
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) University of Michigan 1301 Beal Avenue, Rm 4233 EECS Ann Arbor, MI 48109-2122				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) Office of Naval Research Ballston Centre Tower One 800 North Quincy Street Arlington, VA 22217-5660				10. SPONSORING / MONITORING AGENCY REPORT NUMBER	
11. SUPPLEMENTARY NOTES					
12 a. DISTRIBUTION / AVAILABILITY STATEMENT Approved for public release; distribution unlimited.				12 b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200 words) In order to access the viability of ultra-wideband communications for military applications, a framework for accurately analyzing system performance is required. This research project addresses the need for a tractable, outdoor ultra-wideband (UWB) channel model which will provide the foundation for performance evaluation and the examination of various design tradeoffs that exist at the physical layer. The other major thrusts of the research include channel estimation and error control coding which are essential to the successful realization of and implementation of UWB communication systems.					
14. SUBJECT TERMS ultrawideband communication				15. NUMBER OF PAGES 3	
				16. PRICE CODE	
17. SECURITY CLASSIFICATION OR REPORT UNCLASSIFIED	18. SECURITY CLASSIFICATION ON THIS PAGE UNCLASSIFIED	19. SECURITY CLASSIFICATION OF ABSTRACT UNCLASSIFIED	20. LIMITATION OF ABSTRACT UL		

NSN 7540-01-280-5500

Standard Form 298 (Rev.2-89)
Prescribed by ANSI Std. Z39-18
298-102

Best Available Copy

20040416 090

Final Report: ONR Contract N00014-00-1-0224

Wayne Stark

March 18, 2004

In our research, supported on the above mentioned contract, various aspects of analyzing the performance of ultrawideband communication was considered. The performance of ultra-wideband (UWB) and large-bandwidth communication systems operating in slowly fading channels was examined for different receiver architectures, channel estimation techniques, and diversity combining schemes. Because of cost and complexity constraints, large-bandwidth systems employ suboptimal receivers which must attain sufficient energy capture without incurring significant combining loss from imperfect estimation or noncoherent reception. The performance of rake receivers with non-selective diversity combining and minimum linear mean-square (LMS) estimation or maximum likelihood (ML) estimation was evaluated for frequency-selective, Rician fading channels over a wide range of bandwidths. When the receiver is able to track and combine resolvable paths which contain a specular, or nonfading, component, the combining loss can be smaller than the case in which only diffuse resolvable paths are combined. The corresponding performance gap between these two cases for finite and infinite bandwidths depends upon the power of the specular components relative to the power of the diffuse channel components. The performance of reduced-complexity rake receivers, as well as autocorrelation receivers, was also examined for previously measured UWB indoor channels. Whereas rake receivers perform multiple correlations with a locally generated reference signal to exploit the multipath diversity present in the received signal, autocorrelation receivers simply employ a previously received signal as the reference signal and do not require any diversity combining schemes. The operation of an autocorrelation receiver which averages previously received reference signals to reduce the combining loss resembles a rake receiver with non-selective diversity combining and ML estimation. Furthermore, the combining loss associated with noncoherent reception can be offset by employing M -ary orthogonal modulation at the expense of reduced bandwidth efficiency. The asymptotic ($M \rightarrow \infty$) performance of M -ary orthogonal modulation with Reed-Solomon (RS) coding and diversity reception in multichannels, or multiple frequency-nonselective, slowly fading channels, is examined. The analysis indicates that coherent and noncoherent implementations of diversity combining schemes yield the same performance asymptotically. The results of the research conducted were published in various conference papers and journal articles listed below and a Ph.D. thesis by John Choi. Dr. Choi is now employed at MIT Lincoln Laboratory. Another topic investigated under this contract was characterization of fading channels. In this work the performance of different communication systems under various channel conditions was investigated. We were able to determine, under suitable conditions, that the performance depends on the channel characteristics via a single parameter we call the normalized mean square covariance. Using this parameter it is possible to roughly characterize the set of channels and determine roughly what performance could be expected using a modulation and coding technique. As a result, the perfor-

mance of a communication system need only be investigated on a few select channels and then the performance of other channels can be determined by examining this parameter.

References

- [1] J. Choi, D. Yoo, and W. Stark, "Asymptotic performance of orthogonal signaling with coding and diversity in Rayleigh fading," *IEEE Global Telecommunications Conference*, vol. 2, pp. 917-921, 2000.
- [2] J. Choi and W. Stark, "Performance analysis of rake receivers for ultra-wideband communications with PPM and OOK in multipath channels," *IEEE International Conference on Communications*, pp. 1969-1973, 2002.
- [3] J. Choi and W. Stark, "Performance of autocorrelation receivers for ultra-wideband communications with ppm in multipath channels," *IEEE Conference on Ultra Wideband Systems and Technologies*, pp. 213-217, 2002.
- [4] J. Choi, D.-S. Yoo, and W. E. Stark, "Performance limits of M-FSK with Reed-Solomon coding and diversity combining," *IEEE Transactions on Communications*, vol. 50, no. 11, pp. 1787-1797, Nov. 2002.
- [5] J. Choi and W. E. Stark, "Performance of ultra-wideband communications with suboptimal receivers in multipath channels," *IEEE Journal on Selected Areas in Communications*, vol. 20, no. 9, pp. 1754-1766, Dec. 2002.
- [6] J. Choi and W. E. Stark, "Performance analysis of ultra-wideband spread-spectrum communications in narrowband interference," *Proceedings of the 2002 IEEE Military Communications Conference*, vol. 2, pp. 1075-1080, Oct. 2002.
- [7] J. Choi and W. E. Stark, "Impact of bandwidth upon the performance of ultra-wideband communication systems," *Proceedings of the IEEE Military Communication Conference*, pp. 1-5, 2003.
- [8] D.-S. Yoo and W. Stark, "Characterization of wideband communication channels," *IEEE International Symposium on Antennas and Propagation*, vol. 1, pp. 59, 2000.
- [9] D.-S. Yoo and W. Stark, "An index of frequency selectivity: frequency mean square correlation," *IEEE Vehicular Technology Conference*, vol. 3, pp. 2546-2550, 2000.
- [10] D. Yoo and W. Stark, "Characterization of frequency selective fading channels," *IEEE Sarnoff Symposium*, pp. 20-23, 2001.
- [11] D.-S. Yoo and W. E. Stark, "Interference cancellation for multirate multiuser systems," *IEEE Vehicular Technology Conference*, pp. 1584-1588, Spring 2001.
- [12] D.-S. Yoo and W. E. Stark, "Diversity of a wide-sense stationary uncorrelated scattering channel," *IEEE Transactions on Vehicular Technology*, accepted for publication.
- [13] D.-S. Yoo and W. Stark, "Characterization of multipath fading channels part I: WSSUS channels and normalized mean square covariance," *IEEE Transactions on Wireless Communications*, submitted.

- [14] D.-S. Yoo and W. E. Stark, "Characterization of multipath fading channels part II: Stochastic degree of freedom and system performance," *IEEE Transactions on Wireless Communications*, submitted.
- [15] D.-S. Yoo and W. E. Stark, "Characterization of multipath fading channels part III: Non-WSSUS channels," *IEEE Transactions on Wireless Communications*, submitted.